

**SETTLEMENT INSTRUCTION (SI) / INVESTOR SETTLEMENT INSTRUCTION (ISI) FORM**  
**交收指示 / 投資者交收指示表格**

To : Prior Securities Limited 昇悅證券有限公司

Account Name  
 帳戶名稱 \_\_\_\_\_

Account No.  
 帳戶號碼 \_\_\_\_\_

I/We hereby instruct your Company to 本人/ 吾等特此通知貴公司

- DELIVER** the following securities from my/our securities account 由本人/吾等之證券帳戶 **提取** 下列股票  
 **RECEIVE** the following securities to my/our securities account 將下列股票 **存入** 本人/ 吾等之證券帳戶

and input the following Settlement Instruction into the Central Clearing and Settlement System (CCASS) to effect settlement with details as follows: 及將下列交收指示輸入中央結算及交收系統以便進行交收:

Name of Counter-party 對手經紀名稱	Counter-party / Investor CCASS I.D. No. 對手經紀 / 投資者中央結算代號
Contact Person of Counter-party 對手經紀聯絡人	Tel No. of Contact Person of Counter-party 對手經紀聯絡人電話號碼

Stock Code 股票號碼	Stock Name 股票名稱	No. of Shares 股票數目	Payment Instruction 付款指示		For Office Use Only 本公司專用	
			FOP 無須 付款	DVP 貨款對付交收 Amount 金額 (HKD)	Closing Price 收市價 (HKD)	Fee 費用 (HKD)
			<input type="checkbox"/>	<input type="checkbox"/> \$		
			<input type="checkbox"/>	<input type="checkbox"/> \$		
			<input type="checkbox"/>	<input type="checkbox"/> \$		
			<input type="checkbox"/>	<input type="checkbox"/> \$		
			<input type="checkbox"/>	<input type="checkbox"/> \$		

Debited from Account 有關費用在戶口扣除 Total 總數 (HKD)

**For Office Use Only 本公司專用 :**

**Purpose 目的**

C=Broker-Custodian Transaction 經紀與託管商交易  M=Portfolio Movement 股份組合轉移  
 I= Investor Intermediary 投資者帳戶  P= Stock Pledging 按股  
 L= Stock Borrowing/Lending 借股/貸股  R= Return Recall 撤回交收

**Client Account No. 戶口號碼 :** \_\_\_\_\_ **Settlement Date 交收日:** \_\_\_\_\_

I/We confirm that there is no change in beneficial ownership through effecting this SI/ISI. I/We hereby agree to indemnify you against any loss or claim result from your action according to my/our instruction. 本人/吾等確認上述股票的實益擁有權並沒有因進行交收指示/投資者交收指示而出現變動。本人/吾等願意賠償貴公司因執行本人/吾等指示所引致之任何損失。



\_\_\_\_\_  
 Signature of Client 客戶簽署  
 Contact Tel No. 聯絡電話 \_\_\_\_\_

\_\_\_\_\_ 年(Y) \_\_\_\_\_ 月(M) \_\_\_\_\_ 日(D)

For Office Use Only 本公司專用	Received by	Signature verified by	Inputted by	Checked by	Approved by
Date 日期					